PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 ease type a plus sign (+) inside this box 👆 🛨 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number 09/863,674 **Application Number** TRANSMITTAL Filing Date May 23, 2001 **FORM** C. Frederick Battrell First Named Inventor 1723 Group Art Unit (To be used for all correspondence WENT D **Examiner Name** after initial filing) Attorney Docket No. 660115.418 ENCLOSURES (check all that apply) Drawing(s) CD(s), Number Fee Transmittal Form Request for Corrected Filing of CD(s) Fee Attached Receipt Amendment/Response After Allowance Licensing-related Papers Communication to Group After Final Petition Affidavits/declaration(s) Appeal Communication to Petition to Convert to a Board of Appeals and **Extension of Time Request** Provisional Application Interferences **Express Abandonment** Power of Attorney Appeal Communication to Request Revocation Group (Appeal Notice, Brief, Information Disclosure Change of Correspondence Reply Brief) Statement; Form PTO-1449 Address **Proprietary Information** Cited References Declaration Status Letter **Certified Copy of Priority** Statement under 37 CFR X Return Receipt Postcard Document(s) 3.73(b) (with attached copy Additional Enclosure(s) Response to Missing Parts of assignment) (please identify below): under 37 C.F.R. 1.52 or 1.53 **Terminal Disclaimer** Response to Missing Request for Refund Parts/Incomplete Application Remarks SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Individual Name Emily W. Wagner Registration No. 50,922 00500 PATENT TRADEMARK OFFICE W Wag Signature July 15, 2003 Date

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Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name Karen L. Hedine

Signature Karen L. Hedine

Date

July 14, 2003

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required.

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L'AUTORITATION OF THE PROPERTY OF COMMERCE OF THE PROPERTY OF COMMERCE OF THE PROPERTY OF THE PROPERTY OF T 09/863,674 **Application Number** May 23, 2001 Filing Date C. Frederick Battrell **First Named Inventor** 1723 **Group Art Unit Examiner Name** 660115.418 **Attorney Docket Number** 

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_		37 CFR 3.73(b) is enclosed. (Form PT	•			
	gnee of rec on of the ir		lect, under 37 C.F.R. §	3.71, to prosecute the application to the		
SIGNATURE of Applicant or Assignee of Record						
Name	Karen L.	Hedine				
Signature	Ko_	- Xedone.				
Date	$O_{Ju1}$	y 14, 2003				
				or their representative(s) are required.		

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	STATEMENT UNI	DER 37 CFR 3.73(b)	
Applicant/Patent Owner:	C. Frederick Battro	ell et al.	
Application No./Patent No.:	09/863,674	Filed/Issue Date:	May 23, 2001
Entitled: MICROFLUIDIO	CONCENTRATIO	N GRADIENT LOOP	
Micronics In	C	_, acorpo	oration
(Name of Assign		(Type of Assignee, e.	g., corporation, partnership, ernment agency, etc.)
states that it is:		university, gove	emment agency, etc./
1. x the assignee of the en	tire right, title, and i	nterest; or	
2. an assignee of an und	ivided part interest	•	
in the patent application/pate	ent identified above	by virtue of either:	
The assignment was r Reel, Frame OR	recorded in the Unite	patent application/patent ic ed States Patent and Trade a copy thereof is attached	mark Office at
current assignee as sl	nown below:	patent application/patent id	lentified above, to the
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Additional document	ts in the chain of title	e are listed on a supplemen	tal sheet.
Copies of assignments attached.	s or other document	ts in the chain of title noted	in B above are
	on in accordance with 37	document or a true copy of the orig 7 CFR Part 3, if the assignment is	
The undersigned (whose title	e is supplied below)	is authorized to act on beha	alf of the assignee.
July 14, 2003	<u></u> · <del></del>	Karen L. Hedir Typed or printed na	ne
Date		lyped or printed na	me C
		Signature	
		President/CE	0
		Title	

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## **ASSIGNMENT**

WHEREAS, C. Frederick Battrell, of 18247 NE 28<sup>th</sup> St., Redmond, WA 98052, a citizen of the United States, Ronald L. Bardell, of 8500 148<sup>th</sup> Avenue NE, Redmond, WA 98052, and Gerald L. Klein of 5731 153<sup>rd</sup> Place SW, Edmonds, WA 98026, a citizen of the United States (hereinafter referred to as Assignors), have invented a certain new and useful MICROFLUIDIC CONCENTRATION GRADIENT LOOP, for which an application for a United States Patent was executed on Management of the property of the United States Patent was executed on Management of the property of the property of the property of the United States Patent was executed on Management of the property of the United States Patent was executed on Management of the property of the United States Patent was executed on Management of the United States Patent

WHEREAS, MICRONICS, INC., a Delaware corporation having a place of business at 8463 154<sup>th</sup> Avenue NE, Redmond, WA 98052 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in, to and under said invention and any United States Letters Patent to be obtained thereon;

NOW, THEREFORE, for good and valuable consideration, including that set forth in agreements requiring us to assign inventions to the Assignee, the receipt of which is hereby acknowledged, Assignors hereby sell, assign, and transfer to Assignee the full and exclusive right, title and interest in and to said invention and all Letters Patent of the United States and all foreign countries to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted.

Assignors hereby covenant that no assignment, sale, agreement or encumbrance has been made or will be made or entered into which would conflict with this assignment and sale.

Assignors further covenant and agree that Assignee will, upon its request, be promptly provided with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to Assignors and will promptly execute and deliver to Assignee or its legal representative any and all documents or affidavits required by Assignee to apply for, obtain or enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

Dated: My 16, 2001

: FREDERICK BATTRELL

STATE OF N

OLINITY OF KINA

SS:

Duly acknowledged before me by said C. Frederick Battrell, his voluntary

deed on the day and year aforesaid:

NOTARY PUBLIC 5/16/0

HOTARY

PUBLIC

Dated: May 16, 2001	RONALD L. BARDELL
STATE OF Washington) COUNTY OF King  SS:	
Duly acknowledged before me by sa deed on the day and year aforesaid:	And Ronald L. Bardell, his voluntary act and RD M.  Allow Motary act and RD M.  NOTARY PUBLIC 5/16/01  PUBLIC  19-05
Dated: 16 MAY 2001	GERALD L. KLEIN
STATE OF Washington) COUNTY OF King SS:	
Duly acknowledged before me by sa on the day and year aforesaid:	And Gerald L. Klein, his voluntary act and sped M. (Sion Sion Sion Sion Sion Sion Sion Sion

PTO/SB/122 (10-00)

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## **CHANGE OF** CORRESPONDENCE ADDRESS Applicati n

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Applicati n Number	09/863,674			
Filing Date	May 23, 2001			
First Named Inventor	C. Frederick Battrell			
Group Art Unit	1723			
Examiner Name	70 22 4			
Attorney Docket Number	660115.418			

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Date	July 14	, 2003		-			

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